

**Authority Mission Statement and Performance Measurements**  
**Annual Review – 5/10/2016**

**Name of Public Authority:** The Hudson River – Black River Regulating District

**Public Authority's Mission Statement:**

The mission of the Hudson River-Black River Regulating District is to construct, maintain, and operate reservoirs in the upper Hudson River and Black River watershed, including the Sacandaga, Indian, Black, Moose, and Beaver Rivers for the purpose of regulating the flow of streams or rivers, when required by public welfare, including public health and safety. Regulating reservoirs operated by the Regulating District include Great Sacandaga Lake, Indian Lake, Stillwater Reservoir, Sixth Lake, and Old Forge. Benefits derived through the successful completion of the mission include, but may not be limited to, flood protection to the public corporations (counties) and parcels of real estate (power plants) downstream of said impoundments; low flow augmentation, to provide sufficient water flow enabling downstream wastewater treatment plants to avoid increased treatment costs associated with waste discharge to lower volume rivers and enabling downstream hydroelectric plants to generate low cost hydroelectric power in the hot summer months when rates are generally highest.

**Date Adopted:** First adopted on November 5, 2007  
 Reaffirmed March 8, 2011 as part of the Regulating District's Annual Report  
 Reviewed 9/24/2012, 5/13/2014, 5/12/2015, 5/10/2016

**List of Performance Goals:** (If additional space is needed, please attach):

**Performance Goals:** Review

- Provide flood protection and low flow augmentation through reservoir releases at Great Sacandaga Lake, Indian Lake, Stillwater Reservoir, Old Forge Reservoir, and Sixth Lake Reservoir - Accomplished
- Adhere to Upper Hudson/Sacandaga River Offer of Settlement – Accomplished
- Adhere to operating plans for each reservoir – Accomplished
- Provide public safety – Accomplished
- Provide public information pertinent to the mission – Accomplished
- Maintain safe facilities – Accomplished
- Land management – Accomplished
- Maintain sound financial status – Accomplished in the Black River Area. Hudson River Area.

**Metric:**

- Upper Hudson/Sacandaga River Offer of Settlement
- Reservoir (target elevation) rule curves
- River flow thresholds / limits included in Offer of Settlement and operating plans
- Occurrence and extent of flooding
- Public feedback
- Safety inspections completed by professional engineering staff, FERC, and DEC
- Compliance with DEC, APA and others.....

- Annual independent audit, Public Authority Reporting compliance

Additional Questions:

1. *Have the Board Members acknowledged that they have read and understood the mission of the Public Authority?*

Yes

2. *Who has the power to appoint the management of the Public Authority?*

The Regulating District Board directly appoints all employees of the Regulating District, including management.

3. *If the Board appoints management, do you have a policy you follow when appointing the management of the public authority?*

The Regulating District Board adopted a written policy to follow when appointing the management of the Regulating District. Also, Article VII of the Regulating District's Bylaws establishes the authority and duty of the appointed staff management and enumerates where appropriate, the qualifications necessary for appointment.

4. *Briefly describe the role of the Board and the role of management in the implementation of the mission.*

The Board is the primary policy maker for the Hudson River – Black River Regulating District and directs senior management in the exercise of all power and authority granted to the Regulating District by Article 15, Title 21 of the Environmental Conservation Law.

**The Board:**

- Reviews/Monitors Hudson River-Black River Regulating District's reservoir operational performance against clearly defined performance objectives.
- Ensures performance goals are attained.
- Makes sure that the Hudson River-Black River Regulating District possesses the financial and other resources necessary to realize its visions and carry out its mission fully.
- Assure that all Board Members are fully engaged in the governance process and that the resources they bring to the Board are fully utilized in governing.
- Provides Appellate Review of management's land management determinations.
- Takes accountability for its own performance as a governing body.

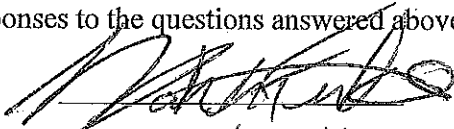
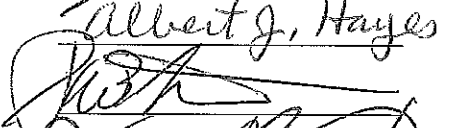
**Management:**

- Determines the quantity of water released.
- Monitors river flow.
- Evaluates key measurements; elevation, flow, storage, precipitation, and snow.
- Conducts inspections.
- Develops capital project plan
- Supervises land management activities

5. *Has the Board acknowledged that they have read and understood the responses to each of these questions?*

I acknowledge that I have read and understood the responses to the questions answered above:

- a. Mark M. Finkle – Chairperson
- b. Albert J. Hayes – First Vice Chairperson
- c. David W. Berkstresser – Second Vice Chairperson
- d. Jeffrey Rosenthal – Board Member
- e. Anthony M. Neddo – Board Member
- f. Robert A. Moore – Board Member

  
Albert J. Hayes  
  
Jeffrey Rosenthal  
EXCUSED 5/10/16  
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